

CHAR410 Form Online For new registrations, Amendments, and Re-registrations	Registration Statement for Charitable Organizations New York State Office of the Attorney General Charities Bureau - Registration Section 28 Liberty Street New York, NY 10005 www.charitiesnys.com	Open to Public Inspection
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Filing Information			
Type of Filing:	<input checked="" type="radio"/> Registration	<input type="radio"/> Amendment	<input type="radio"/> Re-Registration

Contact Information			
1. Name of Charity Rule of Law Society IV Inc.		5. EIN 833252944	
2. c/o Name (if applicable)		6. Website rolsociety.org	
3. Mailing address (Number and street) 445 Park Avenue	Room/suite Ninth Floor	7. Primary contact Jennifer Mercurio	
City or town, state or country and ZIP+4 New York, New York, 10022, United States		Title General Counsel	
4. Principal address (Number and street) 162 East 64 Street	Room/suite Third Floor	Phone 347-244-4785	Primary Contact Email jenniferm@rolsociety.org
City or town, state or country and ZIP+4 162 East 64 Street, Third Floor, New York, New York, 10065, United States		Organization Email jenniferm@rolsociety.org	

3rd Party Preparer Information				
1. Name			4. Title	
2. Name of Firm			5. Phone	
3. Mailing address (Number and street)		Room/suite	6. Email	
City	State/Province	Postal Code	Country	7. Alternate Email

Statute Review	
1. Does the organization conduct activity (other than soliciting) in New York State?	<input checked="" type="radio"/> Yes <input type="radio"/> No
2. When did the organization begin conducting activity?	1/17/2019
3. Does the organization maintain assets in New York State?	<input checked="" type="radio"/> Yes <input type="radio"/> No
4. Does the organization solicit, or plan to solicit or receive more than \$25,000 in total contributions from New York State residents, foundations, corporations or government agencies?	<input checked="" type="radio"/> Yes <input type="radio"/> No
5. If already soliciting, when did this activity begin?	2/10/2019
6. Does the organization contract with or plan in the future to contract with a professional fundraiser or fundraising counsel?	<input checked="" type="radio"/> Yes <input type="radio"/> No

Exemption

1. Does the organization receive substantially all of its contributions from a government agency to which it submits annual financial reports? ☐ Yes ☒ No
2. Does the organization receive an allocation from a federated fund, United Way or incorporated community appeal? ☐ Yes ☒ No
3. Is the organization a government agency, controlled by a government agency, the U.S. Congress or New York State Legislature? ☐ Yes ☒ No
4. Was the organization formed for religious purposes? ☐ Yes ☒ No
5. Is the organization incorporated under the New York State Education Law? ☐ Yes ☒ No
6. If the organization is an educational institution, does it limit solicitation of contributions to the student body, alumni, faculty, trustees and their families? ☐ Yes ☒ No
7. Is the organization an educational institution or museum that files annual financial reports with the Board of Regents of the State University of New York or an agency with similar responsibilities in another state? ☐ Yes ☒ No
8. Is the organization a historical society chartered by the Board of Regents of the State University of New York?
- 8a. Does the organization solicit contributions only from its membership? ☐ Yes ☒ No
9. Is the organization a library that files annual financial reports as required by the NYS Department of Education? ☐ Yes ☒ No
10. Is the organization a hospital, skilled nursing facility or diagnostic/treatment center? ☐ Yes ☒ No
11. Is the organization a membership organization? ☒ Yes ☐ No
- 11a. Does the organization solicit contributions only from its membership? ☐ Yes ☒ No
12. Is the organization a volunteer firefighters or volunteer ambulance service organization? ☐ Yes ☒ No
13. Is the organization a veterans' organization, volunteer firefighters, volunteer ambulance corps, or an auxiliary of such organization and is its fundraising performed only by its members without direct or indirect compensation? ☐ Yes ☒ No
14. Is the organization a police department, sheriff's department or other government law enforcement agency? ☐ Yes ☒ No
15. Is the organization a law enforcement support organization that only solicits contributions from its members? ☐ Yes ☒ No
16. Is the organization a cemetery corporation subject to Article 15 of the NYS Not-for-Profit Corporation Law? ☐ Yes ☒ No
17. Is the organization a PTA affiliated with an educational institution subject to the jurisdiction of the NYS Education Department? ☐ Yes ☒ No
18. Is the organization incorporated under Article 43 of NYS Insurance Law? ☐ Yes ☒ No

Based on initial and exemption review, the organization is required to register under: **Executive Law 7-A**

Registration			
1. What type of organization is it? Corporation			
a. Does the organization have Federal tax exemption status? No Which status?		d. Was the organization ever denied tax exempt status? No	
b. Has the organization applied for tax exemption status? Yes When did it apply? 01/17/2019		e. Has the organization had its tax exempt status revoked? No When was it revoked?	
c. Organization's fiscal year end 12/31		f. When was the organization incorporated or formed? 01/17/2019 State in which incorporated or formed Delaware	
2. List all chapters, branches and affiliates of your organization (For additional rows, please use Appendix)			
Organization Name	Relationship	Mailing address (number and street, room/suite, City or town, state or country and zip+4)	
3. List all officers, directors, trustees, key persons/key employees (For additional rows, please use Appendix)			
Name	Title	Mailing address (number and street, room/suite, city or town, state or country and zip+4)	Email
Karin Maistrello	President, Treasurer	445 Park Avenue, 9th Floor, New York, New York, 10022, United States	inquiry@rolsociety.org
Steve Bannon	Chair	8391 Beverly Boulevard , #479, Los Angeles, California, 90048, United States	inquiry@rolsociety.org
William Gertz	Director	445 Park Avenue, 9th Floor, New York, New York, 10022, United States	inquiry@rolsociety.org
Lihong Wei Lafrenz	Director	445 Park Avenue, 9th Floor, New York, New York, 10022, United States	inquiry@rolsociety.org
Jennifer Mercurio	Secretary, General Counsel	445 Park Avenue, New York, New York, 10022, United States	inquiry@rolsociety.org
Karin Meistrello	President, Treasurer	445 Park Avenue, 9th Floor, New York, New York, 10022, United States	inquiry@rolsociety.org
4. Other Names, Previous Names, and Registration Numbers			
a. Names/DBA/Assumed Names		c. Previous organization names	
b. Prior New York State charities registration numbers			

5. Describe the organization's charitable purposes

Rule of Law Society is organized for the promotion of social welfare specifically to promote the rule of law in China and across the world.

6. Has the organization been prohibited by a government agency or court from soliciting contributions?
☐ Yes ☒ No

If "Yes", describe:

7. Have any of the organization's officers, directors, trustees, key persons/key employees been prohibited by a government agency or court from soliciting contributions?
☐ Yes ☒ No

If "Yes", describe:

8. Has the organization or its officers, directors, trustees, key persons/key employees been found in violation of any law in soliciting for a charity?
☐ Yes ☒ No

If "Yes", describe:

9. Has the organization or its officers, directors, trustees, key persons/key employees ever entered into any agreement with any regulatory body regarding its conduct in connection with any fundraising activity or misappropriation or misuse of the organization's money or property?
☐ Yes ☒ No

If "Yes", describe:

10. Has the organization's registration or license been suspended by a government agency?
☐ Yes ☒ No

If "Yes", describe:

11. Does the organization solicit or plan to solicit contributions in New York State?
☒ Yes ☐ No

If "Yes", describe:

The organization is domiciled in NY State and will solicit contributions in NY State to help it pay its expenses.

12. Has the organization engaged fundraising professionals for fundraising in New York State?
☐ Yes ☒ No

Name	Type of FRP (see instructions for definitions)	Mailing address (number and street, room/suite, city or town, state or country and zip+4)	Dates of contract
	P F R <input type="checkbox"/> F R C <input type="checkbox"/>		Start date: End date:
	P F R <input type="checkbox"/> F R C <input type="checkbox"/>		Start date: End date:
	P F R <input type="checkbox"/> F R C <input type="checkbox"/>		Start date: End date:

13. Does the organization have a conflict of interest policy?
☒ Yes ☐ No
14. Does the organization have a whistleblower policy?
☒ Yes ☐ No
15. Attached organization's required documents:

- ☒ **Certificate of incorporation, including amendments or other organizing document**
- ☒ **Bylaws or other organizing document**
- ☐ **Other organizing documents (if applicable)**

Signatures

I certify under penalty for perjury that I reviewed this Registration Statement, including all schedules and attachments, and to the best of my knowledge and belief, they are true, correct and complete in accordance with the laws of the State of New York applicable to this statement.

Role	First Name	Last Name	Title	Email Address
President or Authorized Officer/Trustee	Jennifer	Mercurio	Chief Executive	jenniferm@rolsociety.org
Chief Financial Officer or Treasurer	Karin	Maistrello	Treasurer	karinm@gsnyus.com

Signature of President or Authorized Officer/Trustee

DocuSigned by:

Jennifer Mercurio

5/14/2019

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Signature of Chief Financial Officer or Treasurer

DocuSigned by:

Maistrello JE

5/14/2019

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Appendix A - List all chapters, branches and affiliates of your organization		
Organization Name	Relationship	Mailing address

Appendix B - List all officers, directors, trustees, key persons/key employees			
Name	Title	Mailing address	Email
Sasha Gong	Director	445 Park Avenue, 9th Floor, New York, New York, 10022, United States	inquiry@rolsociety.org

Appendix C - Names/DBA/Assumed Names	
Names/DBA/Assumed Names	

Appendix D - Previous Organization Name	
Previous organization Name	