

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Power, Samantha

Administrator, U.S. Agency for International Development

Other Federal Government Positions Held During the Preceding 12 Months:

None

Names of Congressional Committees Considering Nomination:

- Committee on Foreign Relations
-

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Power, Samantha [electronically signed on 01/16/2021 by Power, Samantha in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Ohlweiler, John, Certifying Official [electronically signed on 02/05/2021 by Ohlweiler, John in Integrity.gov]

Other review conducted by

U.S. Office of Government Ethics Certification

/s/ Apol, David, Certifying Official [electronically signed on 02/05/2021 by Apol, David in Integrity.gov]

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO	
1	Harvard University	Harvard University, Massachusetts	University/College	Professor of Practice at Harvard Law School and Harvard Kennedy School	4/2017	Present	
2	Ford Foundation	See Endnote	New York City, New York	Foundation	Trustee	10/2020	Present
3	Aurora Humanitarian Prize	New York City, New York	Non-Profit	Prize Selection Committee Member	11/2017	Present	
4	International Refugee Assistance Project	New York City, New York	Non-Profit	Director on Board of Directors	7/2018	Present	
5	The Social+Capital Partnership, LLC	Palo Alto, California	Investment Partnership	Advisor	6/2017	Present	

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Harvard University Defined Contribution Retirement Plan	No			
1.1	CCREF Money Market R3 (QCMMIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2	Harvard University Retirement Income Plan	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.1	Vanguard Federal Money Market Inv (VMFXX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
3	Harvard University Tax Deferred Annuity Plan	No			
3.1	Vanguard Inst Tg Trm 35 Inst (VITFX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
4	Imagine: Reflections on Peace Book Chapter (Spark Press)	N/A		Book Chapter Fee (one time payment; no royalties)	\$2,000
5	Munk Dialogues Speaking Engagement - 04/30/2020	N/A		Honorarium	\$5,000
6	Kilkenny Arts Festival Speaking Engagement - 08/12/2020	N/A		Honorarium	\$587
7	Freshfields State of the World Speaking Engagement - 11/05/2020	N/A		Honorarium	\$10,000
8	Foreign Affairs Article "Can do Power" (11/20/20)	N/A		Article Fees	\$3,000
9	Walter E. Edge Lecture at Princeton University - 11/19/2020	N/A		Honorarium	\$15,000
10	Berlin Foreign Policy Forum Speaking Engagement - 11/24/2020	N/A		Honorarium	\$2,375
11	Pendulum Summit Speaking Engagement - 11/27/2020	N/A		Honorarium	\$3,000
12	Harvard Advanced Leadership Symposium Speaking Engagement - 12/01/2020	N/A		Honorarium	\$2,000
13	Australian Strategic Policy Institute - Speaking Engagement - 8/3/2020	N/A		Honorarium	\$1,370
14	Charles Neuhauser Memorial Lecture - Speaking Engagement - 2/4/2020	N/A		Honorarium	\$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15	Galway International Arts Festival - Speaking Engagement - 9/12/2020	N/A		Honorarium	\$592
16	Nestle Executive Leadership Climate and Justice Zoom - Speaking Engagement - 12/3/2020	N/A		Honorarium	\$2,000
17	Ottawa Writers Festival - Speaking Engagement - 10/25/2020	N/A		Honorarium	\$266
18	Washington Post Book Review, Geraldine Schwarz, "Those Who Forget" (10/16/20) & Dorothy Day Biography Review (3/6/20)	N/A		Article Fees	\$750
19	James Baker Biography Book Review (New York Times)(9/25/20)	N/A		Article Fees	\$1,200
20	Harvard University	N/A		Salary and summer research stipends	\$471,167
21	Tufts University Osher Institute for Lifelong Learning - Speaking Engagement - 9/3/2020	N/A		Honorarium	\$4,000
22	Chautauqua Institution Speaking Engagement - 8/28/2020	N/A		Honorarium	\$44,000
23	CHI St. Joseph Children's Health Community Conversation Series Speaking Engagement - 9/1/2020	N/A		Honorarium	\$24,000
24	Global Risk Institute Speaking Engagement - 10/8/2020	N/A		Honorarium	\$12,000
25	KPMG Ireland Speaking Engagement - 10/22/2020	N/A		Honorarium	\$24,000
26	Purdue University 11th Annual Conference for Assistant Professors Speaking Engagement - 10/6/2020	N/A		Honorarium	\$16,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
27	Salesforce Higher Ed Summit Speaking Engagement - 6/16/2020	N/A		Honorarium	\$40,000
28	Standard Chartered "State of the World" Speaking Engagement - 10/13/2020	N/A		Honorarium	\$36,800
29	UBS "State of the World" 2020 Speaking Engagement - 10/5/2020	N/A		Honorarium	\$56,000
30	World Affairs Oregon and Reed College Speaking Engagement - 1/21/2020	N/A		Honorarium	\$16,000
31	Santander International Conference "State of the World" Speaking Engagement - 10/29/2020	N/A		Honorarium	\$10,000
32	Facing History and Ourselves Virtual Gala Speaking Engagement - 5/7/2020	N/A		Honorarium	\$10,000
33	Compliance Week Speaking Engagement - 5/19/2020	N/A		Honorarium	\$15,000
34	"Chasing the Flame"; Penguin Press (Value not readily ascertainable)	N/A		Rent or Royalties	None (or less than \$201)
35	"The Education of an Idealist", Day Steet Books (value not readily ascertainable)	N/A		Rent or Royalties	\$100,001 - \$1,000,000
36	"The Education of an Idealist", William Collins (Value not readily ascertainable)	N/A		Rent or Royalties	\$5,001 - \$15,000
37	"A Problem From Hell", Flamingo (Value not readily ascertainable)	N/A		Rent or Royalties	\$1,001 - \$2,500
38	"A Problem From Hell", Basic Books (Value not readily ascertainable)	N/A		Rent or Royalties	\$2,501 - \$5,000
39	"[COVID] Won't End for Anyone Until it Ends for Everyone," New York Times	N/A		Article Fees	\$1,800
40	The Wylie Agency, LLC ("A Problem From Hell" republication for quotes; September 2020); New York, NY, USA	N/A		Republication Rights fees	\$229

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
41	Aurora Humanitarian Prize		N/A		Stipend for service on selection committee	\$7,500
42	The Social+Capital Partnershp GP III, L.P.		No	\$50,001 - \$100,000	Capital Gains Interest	\$15,001 - \$50,000
42.1	The Social+Capital Partnership III, L.P. (Investment Partnership)	See Endnote	No			None (or less than \$201)
42.2	The Social+Capital Partnership III, L.P.; carried interest; share of carried interest based on 30% of profits after return of capital	See Endnote	N/A			None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Harvard University	Cambridge, Massachusetts	I will continue to participate in the Defined Contribution Retirement Plan. The university will not make contributions during my leave	4/2017
2	Harvard University	Cambridge, Massachusetts	I will continue to participate in the Retirement Income Plan. The university will not make contributions during my leave	4/2017
3	Harvard University	Cambridge, Massachusetts	I will continue to participate in the Tax Deferred Annuity Plan. The university will not make contributions during my leave	4/2017
4	Harvard University	Cambridge, Massachusetts	I will take an unpaid, two-year leave of absence from my faculty position.	1/2021

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
5	The Social+Capital Partnership, LLC	Palo Alto, California	In connection with her 2017 agreement with The Social+Capital Partnership L.L.C., a Delaware limited liability company, filer received a limited partnership interest in The Social+Capital Partnership GP III, L.P., a Cayman Limited partnership (the "GP"). The GP entity is the general partner of The Social+Capital Partnership III, L.P., a Cayman investment fund (the "fund"). Filer does not own a partnership interest in the fund. As a limited partner in the GP, filer has no management or control of the investments made by the fund. Filer's profits interest in the GP is .7855% and the effective %of her interest would be 0.23565%. Filer will divest her interest in the GP.	6/2017

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	Chautauqua Institution	Chautauqua, New York	Honorarium for speaking engagement
2	CHI St. Joseph Children's Health	Lancaster, Pennsylvania	Honorarium for speaking engagement
3	Claremont McKenna College	Claremont, California	Honorarium for speaking engagement
4	Collin County Democrats	Plano, Texas	Honorarium for speaking engagement
5	Compliance Week	Boston, Massachusetts	Honorarium for speaking engagement
6	Draper Richards Kaplan Foundation	Menlo Park, California	Honorarium for speaking engagement
7	Facing History and Ourselves	Brookline, Massachusetts	Honorarium for speaking engagement
8	Fairfield University	Fairfield, Connecticut	Honorarium for speaking engagement

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
9	Fundacao Francisco Manuel Santos	Lisbon, Outside U.S.	Honorarium for speaking engagement
10	Global Risk Institute	Toronto, Outside U.S.	Honorarium for speaking engagement
11	Google Ireland Limited	Hertfordshire, Outside U.S.	Honorarium for speaking engagement
12	Kean University	Union, New Jersey	Honorarium for speaking engagement
13	KPMG Ireland	Dublin, Outside U.S.	Honorarium for speaking engagement
14	Milbank Corp	St. Louis, Missouri	Honorarium for speaking engagement
15	Purdue University	West Lafayette, Indiana	Honorarium for speaking engagement
16	Salesforce	San Francisco, California	Honorarium for speaking engagement
17	Santander Conference	Boston, Massachusetts	Honorarium for speaking engagement
18	Standard Chartered Bank	London, Outside U.S.	Honorarium for speaking engagement
19	Teneo Strategy LLC	Brooklyn, New York	Honorarium for speaking engagement
20	The Connecticut Forum	Hartford, Connecticut	Honorarium for speaking engagement
21	Transamerica	Baltimore, Maryland	Honorarium for speaking engagement
22	UBS	Zurich, Outside U.S.	Honorarium for speaking engagement
23	University of Idaho	Moscow, Idaho	Honorarium for speaking engagement

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
24	University of Southern California	Los Angeles, CA, California	Honorarium for speaking engagement
25	University of Texas	Austin, Texas	Honorarium for speaking engagement
26	Wellesley College	Wellesley, Massachusetts	Honorarium for speaking engagement
27	World Affairs Council Oregon And Reed College	Portland, Oregon	Honorarium for speaking engagement
28	Harvard University	Cambridge, MA, Massachusetts	Professor of the Practice of Global Leadership and Public Policy and Human Rights.
29	University of Texas - Arlington	Arlington, Texas	Honorarium for speaking engagement
30	Freshfields Bruckhaus Deringer LLP	London, Outside U.S.	Honorarium for speaking engagement
31	Princeton University	Princeton, New Jersey	Honorarium for speaking engagement
32	American Academy of Political and Social Science	Philadelphia, Pennsylvania	Honorarium for speaking engagement
33	Brown University	Providence, Rhode Island	Honorarium for speaking engagement
34	St. John's Preparatory School, Inc.	Danvers, Massachusetts	Honorarium for speaking engagement
35	T. Rowe Price	Baltimore, Maryland	Honorarium for speaking engagement
36	Aurora Humanitarian Prize Selection Committee	New York City, New York	Compensation for selection committee service
37	The Social+Capital Partnership GP, LLC	Palo Alto, California	Partner

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Harvard University	N/A		Salary	
2	Bloomberg (Columnist) See Endnote	N/A		Article Fees	\$224,100
3	The Boston Globe ("What's At Stake in A New Supreme Court" (9/19/20); "The Next President Must Soothe Americans' Fear" (11/5/20) "On Trump Finance Rulings, the Supreme Court Delivers" 7/9/20)	N/A		Article fees	\$550
4	The NYT Newspaper ("Why is Trump Gutting Regulations?" (4/17/20); "The Independent Department of Justice" (2/20/20); "The Very Structure of Government is Under Assault" (9/15/20)	N/A		Article fees	\$625
5	Humu, Inc. (a human resource company that makes work better through science and machine learning)	N/A		Advising Fees	
6	FiiS (Aurum Speakers Bureau, S.L)(Oct 17, 2020)	N/A		Honorarium	\$10,200
7	Behavioral Insights Team, North America (a social purpose organisation that generates and applies behavioural insights, officially to inform policy and improve public services)	N/A		Board Fees	
8	Global Asset Capital, LLC (a global private equity investment firm with interests in real estate, structured finance and venture capital)	N/A		Consulting Fees	
9	Apple	N/A		Consulting Fees	
10	Yale University Press ("Nudge"); Value not readily ascertainable; New Haven, CT, USA	N/A		Rent or Royalties	\$15,001 - \$50,000
11	WW Norton ("Clones and Clones: Facts And Fantasies About Human Cloning"); Value not readily Ascertainable; New York, NY, USA	N/A		Rent or Royalties	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12	WW Norton ("Cost of Rights: Why Liberty Depends on Taxes"); Value not readily Ascertainable; New York, NY, USA	N/A			None (or less than \$201)
13	Princeton University Press ("#Republic"); Value not readily ascertainable; Princeton, NJ, USA	N/A		Rent or Royalties	\$2,501 - \$5,000
14	"Impeachment", Penguin Books (Value not readily ascertainable)	N/A		Rent or Royalties	\$5,001 - \$15,000
15	"The Curse of Conformity", NYU Press (Value not readily ascertainable)	N/A		Rent or Royalties	\$1,001 - \$2,500
16	"Human Agency and Behavioral Economics", Palgrave Macmillan (Value not readily ascertainable;)	N/A			None (or less than \$201)
17	The Wylie Agency LLC (republication for quotes); New York, NY, USA	N/A		republication rights fees	\$229
18	"Freedom (Holberg Lecture)", Princeton University Press (Value not readily ascertainable)	N/A		Rent or Royalties	\$201 - \$1,000
19	"This. Is. Not. Normal", Yale University Press (Value not readily ascertainable)	N/A		Rent or Royalties	\$2,501 - \$5,000
20	"Sludge", The MIT Press (Value not readily ascertainable)	N/A		Rent or Royalties	\$5,001 - \$15,000
21	"Falsehoods and Free Speech", Oxford University Press (Value not readily ascertainable)	N/A		Rent or Royalties	\$5,001 - \$15,000
22	"Unleashed: #MeToo and Beyond", Princeton University Press (Value not readily ascertainable)	N/A		Rent or Royalties	\$5,001 - \$15,000
23	"Averting Catastrophe", NYU Press (Value not readily ascertainable)	N/A		Rent or Royalties	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
24	"How Change Happens", The MIT Press (Value not readily ascertainable)	N/A		Rent or Royalties	\$5,001 - \$15,000
25	"The World According to Star Wars", Dey Street Books (Value not readily ascertainable)	N/A			None (or less than \$201)
26	"Choosing Not to Choose", Oxford University Press (Value not readily ascertainable)	N/A		Rent or Royalties	\$201 - \$1,000
27	"Conformity", NYU Press (Value not readily ascertainable)	N/A		Rent or Royalties	\$15,001 - \$50,000
28	"On Rumors", Princeton University Press (Value not readily ascertainable)	N/A		Rent or Royalties	\$201 - \$1,000
29	"Why Societies Need Dissent", Harvard University Press (Value not readily ascertainable)	N/A		Rent or Royalties	\$1,001 - \$2,500
30	"Noise", Little, Brown and Company (Value not readily ascertainable)	N/A		Rent or Royalties	\$100,001 - \$1,000,000
31	CCH Inc. - Wolters Kluwer Law & Business ("Administrative Law & Reg Policy: Problems Text And Case 8E"); Value not readily ascertainable; Riverwoods, IL, USA	N/A		Rent or Royalties	\$2,501 - \$5,000
32	CCH Inc. - Wolters Kluwer Law & Business ("Constitutional Law 7E"); Value not readily ascertainable; Riverwoods, IL, USA	N/A			None (or less than \$201)
33	CCH Inc. - Wolters Kluwer Law & Business ("Constitutional Law 8E"); Value not readily ascertainable; Riverwoods, IL, USA	N/A		Rent or Royalties	\$5,001 - \$15,000
34	CCH Inc. - Wolters Kluwer Law & Business ("Global Edition: Constitutional Law 7E"); Value not readily ascertainable; Riverwoods, IL, USA	N/A		Rent or Royalties	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
35	CCH Inc. - Wolters Kluwer Law & Business ("Global Edition: Constitutional Law 8E"); Value not readily ascertainable; Riverwoods, IL, USA	N/A		Rent or Royalties	None (or less than \$201)
36	CCH Inc. - Wolters Kluwer Law & Business ("The First Amendment 5E"); Value not readily ascertainable; Riverwoods, IL, USA	N/A		Rent or Royalties	\$201 - \$1,000
37	CCH Inc. - Wolters Kluwer Law & Business ("Constitutional Law 2017 Supplement"); Value not readily ascertainable; Riverwoods, IL, USA	N/A		Rent or Royalties	\$201 - \$1,000
38	CCH Inc. - Wolters Kluwer Law & Business ("Constitutional Law 2019 Supplement"); Value not readily ascertainable; Riverwoods, IL, USA	N/A		Rent or Royalties	\$201 - \$1,000
39	Harvard University Press ("Why Societies Need Dissent"); Value not readily ascertainable; Cambridge, MA, USA	N/A			None (or less than \$201)
40	Harvard University Press ("Impeachment"); Value not readily ascertainable; Cambridge, MA, USA	N/A		Rent or Royalties	\$5,001 - \$15,000
41	Harvard University Press ("Worst-Case Scenarios"); Value not readily ascertainable; Cambridge, MA, USA	N/A		Rent or Royalties	\$201 - \$1,000
42	WW Norton ("Reassessing The Sixties: Debating Political and Cultural Legacy"); Value not readily Ascertainable; New York, NY, USA	N/A			None (or less than \$201)
43	Princeton University Press ("A Constitution of Many Minds"); Value not readily ascertainable; Princeton, NJ, USA	N/A			None (or less than \$201)
44	Princeton University Press ("Climate Change Justice"); Value not readily ascertainable; Princeton, NJ, USA	N/A			None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
45	Princeton University Press ("Republic.com 2.0"); Value not readily ascertainable; Princeton, NJ, USA	N/A		Rent or Royalties	\$201 - \$1,000
46	CCH Inc. - Wolters Kluwer Law & Business ("The First Amendment 6E"); Value not readily ascertainable; Riverwoods, IL, USA	N/A			None (or less than \$201)
47	CCH Inc. - Wolters Kluwer Law & Business ("Constitutional Law 2018 Supplement"); Value not readily ascertainable; Riverwoods, IL, USA	N/A			None (or less than \$201)
48	CCH Inc. - Wolters Kluwer Law & Business ("Administrative Law and Regulatory Policy: 2015-2016 Case Supplement"); Value not readily ascertainable; Riverwoods, IL, USA	N/A			None (or less than \$201)
49	Harvard University Press ("After the rights Revolution"); Value not readily ascertainable; Cambridge, MA, USA	N/A			None (or less than \$201)
50	Harvard University Press ("One Case at a Time"); Value not readily ascertainable; Cambridge, MA, USA	N/A			None (or less than \$201)
51	Harvard University Press ("The Partial Constitution"); Value not readily ascertainable; Cambridge, MA, USA	N/A			None (or less than \$201)
52	"Simpler", Simon & Schuster (Value not readily ascertainable)	N/A			None (or less than \$201)
53	"Why Nudge", Yale University Press (Value not readily ascertainable)	N/A			None (or less than \$201)
54	"Conspiracy Theories and Other Dangerous Ideas", Simon & Schuster (Value not readily ascertainable)	N/A			None (or less than \$201)
55	"The Ethics of Influence" & "Simpler", Cambridge University (Value not readily ascertainable)	N/A			None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
56	The University of Chicago Contributory Retirement Plan	No			
56.1	TIAA Traditional	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
56.2	CREF Stock R3 (QCSTIX)	Yes	\$500,001 - \$1,000,000		None (or less than \$201)
56.3	CREF Money Market R3 (QCMMIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
57	Retirement Income Plan for Teaching Faculty of Harvard University	No			
57.1	TIAA Traditional	N/A	\$15,001 - \$50,000		None (or less than \$201)
57.2	CREF Stock R3 (QCSTIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
57.3	Vanguard Total Stock Market Index Fund Institutional Plus (VSMPX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
57.4	Vanguard Institutional Target Retirement 2020 Institutional (VITWX)	Yes	\$250,001 - \$500,000		None (or less than \$201)
58	Harvard University Tax Deferred Annuity Plan	No			
58.1	Vanguard Institutional Target Retirement 2020 Institutional (VITWX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
59	Humu, Inc. - Stock Options (8/1/2021); 20,000 shares at a strike price of \$.026; exp. 10/2029 (value not readily ascertainable)(a human resource company that makes work better through science and machine learning)	N/A			None (or less than \$201)
60	CCH Inc. - Wolters Kluwer Law & Business ("Administrative Law & Reg Policy: Problems Text And Case 7E"); Value not readily ascertainable; Riverwoods, IL, USA	N/A			None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
61	Oxford University Press ("Legal Reasoning and Political Conflict"), Value not readily ascertainable; New York, NY, USA	N/A			None (or less than \$201)
62	Oxford University Press ("Free Markets and Social Justice"), Value not readily ascertainable; New York, NY, USA	N/A			None (or less than \$201)
63	Oxford University Press ("Designing Democracy: What Constitutions Do"), Value not readily ascertainable; New York, NY, USA	N/A			None (or less than \$201)
64	Oxford University Press ("Sunstein: Animal Rights"), Value not readily ascertainable; New York, NY, USA	N/A		Rent or Royalties	\$201 - \$1,000
65	Oxford University Press ("Infotopia: How Many Minds Produce Knowledge"), Value not readily ascertainable; New York, NY, USA	N/A			None (or less than \$201)
66	Humu Inc., vested stock options (human resource company that makes work better through science and machine learning)	N/A	\$1,001 - \$15,000		None (or less than \$201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	U.S. bank #3 (cash)	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
2	Indestructible, LLC - .464% interest in partnership (film company)	See Endnote	N/A		None (or less than \$201)
3	US brokerage account (cash)	N/A	\$1,000,001 - \$5,000,000	Interest	\$2,501 - \$5,000
4	U.S. bank #10 (cash)	N/A	\$100,001 - \$250,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5	U.S. bank #1 (cash)	N/A	\$50,001 - \$100,000	Interest Capital Gains	\$1,001 - \$2,500
6	U.S. bank #2 (cash)	N/A	\$15,001 - \$50,000	Interest	\$15,001 - \$50,000
7	Bank of India (CD)	N/A	\$100,001 - \$250,000		None (or less than \$201)
8	U.S. bank #4 (cash)	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
9	U.S. bank #5 (cash)	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
10	U.S. bank #6 (cash)	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
11	U.S. bank #7 (cash)	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
12	U.S. bank #8 (cash)	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
13	U.S. bank #9 (cash)	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
14	U.S. bank #12 (cash)	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
15	U.S. bank #11 (cash)	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
16	US brokerage account	No			
16.1	U.S. bank sweep account (cash)	N/A	\$50,001 - \$100,000		None (or less than \$201)
16.2	Vanguard Value ETF Holding (VTV)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
16.3	American Washington Mutual Investors Fund CL A (AWSHX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16.4	Vanguard Total Bond Mkt Holding (BND)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
16.5	American Growth Fund of American CL F1 (GFAFX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
16.6	Johnson & Johnson Company Holdings (JNJ)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
16.7	Blackrock International FD A (MDILX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
16.8	Templeton Global Bond FD CL A (TPINX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
16.9	Vanguard Short-Term Corporate Bond Holding (VCSH)	Yes	\$250,001 - \$500,000		\$2,501 - \$5,000
16.10	Vanguard 500 Index Fund SHS ETF Holding (VOO)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
16.11	Vanguard Total Stk Mkt ETF Holding (VTI)	Yes	\$500,001 - \$1,000,000		\$2,501 - \$5,000
16.12	Vanguard High DVD Yield ETF Holding (VYM)	Yes	\$250,001 - \$500,000		\$2,501 - \$5,000
16.13	Vanguard INTL High Divid Yield ETF SHS Holding (VYMI)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
16.14	American Washington Mutual Investors FD F1 (WSHFX)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
16.15	BLACKROCK INFLATION PROTECTED BD PORT CL A (BPRIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
16.16	BLACKROCK CAPITAL APPRECIATION FD, INC. A (MDFGX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
16.17	BLACKROCK ADV U.S. TTL MARKET FD, INC. CL A (MDSPX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
16.18	BLACKROCK ADVANTAGE SMALL CAP GROWTH FD CL A (CSGEX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16.19	TEMPLETON FOREIGN FUND CLASS A (TEMTX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
16.20	READY ASSET GOV LIQ FD (MRAXX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
16.21	DAVIS NY VENTURE FD CL A (NYVTX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
16.22	Vanguard FTSE Emerging Markets ETF Holding (VWO)	Yes	\$1,001 - \$15,000		None (or less than \$201)
17	US Brokerage Account				
17.1	Chicago ILL BRD ED BDS SCHOOL 5.21%	N/A	\$1,001 - \$15,000		None (or less than \$201)
17.2	SP500 MITTS ISS HSBC PART 125.05% (MLUOJ)(Bank of America Note Linked to S&P 500 Index)	N/A	\$50,001 - \$100,000		None (or less than \$201)
17.3	INTL BKT MITTSS ISS HSBC Part 125.05% (MLUOM)(HSBC Note Linked to International Equity Index)	N/A	\$15,001 - \$50,000		None (or less than \$201)
17.4	Tesla Inc (TSLA)	N/A	\$100,001 - \$250,000		None (or less than \$201)
17.5	VANGUARD TARGET RETIREMENT INC FD INV CL (VTINX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
17.6	VANGUARD WELLESLEY INCOME FUND CL INV (VWINX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
17.7	Vanguard Intermediate Term Bond ETF (BIV)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
17.8	Vanguard Total Bond Mkt Holding (BND)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
17.9	Vanguard Short Term Bond Holding (BSV)	Yes	\$250,001 - \$500,000		\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
17.10	Delaware Value Fund Class A (DDVAX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
17.11	Vanguard Extended Duration Treasury ETF Holding (EDV)	Yes	\$250,001 - \$500,000		\$2,501 - \$5,000
17.12	Vanguard Small Cap Growth ETF Holding (VBK)	Yes	\$1,001 - \$15,000		None (or less than \$201)
17.13	Vanguard Small Cap Value ETF Holding (VBR)	Yes	\$1,001 - \$15,000		None (or less than \$201)
17.14	Vanguard Intrmdiate-Term Corporate Bond Holding (VCIT)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
17.15	Vanguard Short-Term Corporate Bond Holding (VCSH)	Yes	\$500,001 - \$1,000,000		\$5,001 - \$15,000
17.16	Vanguard Consumer Staples ETF Holding (VDC)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
17.17	Vanguard FTSE Developed Markets ETF Holding (VEA)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
17.18	Vanguard Short-Term Treasury ETF Holding (VGSH)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
17.19	Vanguard Dividend Appreciation ETF Holding (VIG)	Yes	\$250,001 - \$500,000		\$2,501 - \$5,000
17.20	Vanguard Real Estate ETF Holding (VNQ)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
17.21	Vanguard Mid-Cap ETF Holding (VO)	Yes	\$500,001 - \$1,000,000		\$5,001 - \$15,000
17.22	Vanguard Total World Stk I Holding (VT)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
17.23	Vanguard Tax-Exempt Bond Index ETF Holding (VTEB)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
17.24	Vanguard Total Stk Mkt ETF Holding (VTI)	Yes	\$500,001 - \$1,000,000		\$5,001 - \$15,000
17.25	Vanguard Growth ETF Holding (VUG)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
17.26	Vanguard Large-Cap ETF Holding (VV)	Yes	\$250,001 - \$500,000		\$2,501 - \$5,000
17.27	Vanguard FTSE Emerging Markets ETF Holding (VWO)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
17.28	Vanguard High DVD Yield ETF Holding (VYM)	Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000
17.29	Vanguard INTL High Divid Yield ETF Shs Holding (VYMI)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

#	CREDITOR NAME		TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	President and Fellows of Harvard College	See Endnote	Mortgage on Personal Residence	\$500,001 - \$1,000,000	2017	Appreciation interest per agreement	Due on sale

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

PART	#	ENDNOTE
1.	2	I resigned on February 2, 2021, subsequent to filing.

PART	#	ENDNOTE
2.	42.1	<p>Aclima, Inc. (Hyperlocal air quality data and insights); Airmap, Inc. (Airspace services platform for unmanned aircraft); MAD Apparel, Inc. (a/k/a Athos; Fashion/Fitness); Autonomic, LLC (Vehicle connectivity platform); FutureSimple Inc. (a/k/a Base; Analytics/Software); BitYota (Data Warehouse-as-a-Service for big data analytics); Box, Inc. (Online file sharing and cloud content management service); Breakthrough Behavioral, Inc. (Health Care); Brilliant Worldwide, Inc. (ELearning/Education); BDG Media Inc. (a/k/a Bustle; News/entertainment/ lifestyle/fashion website); Captricity, Inc. (AI Platform/Cloud Data Services); eShares, Inc. (a/k/a Carta; Global ownership management platform); ClearSlide, Inc. (Software/Information Technology); AppToU Inc. (a/k/a CloudOn); CollectiveHealth, Inc. (Health Insurance); CommonBond, Inc. (Financial technology company); Confer Health Inc. (Biotechnology company); Coolan (Predictive Analytics/Information Technology); Cover Financial, Inc. (Android and iOS mobile application); Cozy Services, Ltd. (Online property management service); CreativeLIVE, Inc. (EdTech/Education); CryptoMove, Inc. (Software platform); Datacoral, Inc. (Data integration platform for analytics); Descomplica, Ltd. (Educational platform); Digital Currency Group, Inc. (Bitcoin/Blockchain); DroneSeed, Inc. (Environmental Engineering/Drones); Ezetap Mobile Solutions Private Limited (Payment device maker); Forge, Inc. (Gaming entertainment); Fresno Unlimited (Media/Entertainment Company); FrontApp, Inc. (Customer communication platform); Glooko, Inc. (Unified Platform for Diabetes Management); Greenhouse Software, Inc. (Enterprise Software/Recruiting); Groq (Developer of a tensor processing unit); Harvey Health (Health/Wellness/Fitness); HubHaus, Inc. (Provider of a shared community platform); Hustle, Inc. (Peer-to-peer text messaging platform); InstaEDU, Inc. (Online tutoring platform); Intercom, Inc. (Conversational Relationship Platform); Ligandal Inc. (Biotechnology); Lema21, Ltd. (Eyewear Ecommerce); LotusFlare, Inc. (Enterprise Software/Internet); Lumity, Inc. (Health Care/Analytics/Information Technology); Mango Games (Mobile games company); MeMed Diagnostic Ltd. (Personalized health diagnostics company); mParticle (Customer data platform); mPharma Data, Inc. (Health Care/Pharmaceutical); NetSkope, Inc. (Cyber Security); Neurotrack Technologies Inc. (Biotechnology/Health Care/Mobile Devices); NiYO Solutions Inc. (Fintech company); OneLogin, Inc. (Cloud identity and access management); Penny (Personal finance app); Premise (Data and analytics platform); Reciprocal Labs Corp (a/k/a Propeller Health; Mobile platform); Relativity Space, Inc. (3D Technology/Aerospace); Remind101, Inc. (Communication platform/Education); Replicon Inc. (Project Management); Saildrone, Inc. (Marine Technology/Drones); Secret Cinema (Media platform/Film/Theatre); Sempre Health, Inc. (Health Care); SFOX, Inc. (Cryptocurrency prime dealer); Simplificare Inc. (a/k/a Simplee; Developer of payment software for health care industry); Slack Technologies, Inc. (Enterprise software platform); Lengio Corporation (a/k/a Slang; Online specialized English courses for career development); SurveyMonkey (Survey company); SwarmTechnologies, Inc. (Global communications network/Satellite technology); Swing Education, Inc. (Recruiting/E-Learning); Syapse, Inc. (Big Data/SaaS/Bioinformatics for cancer care); Treehouse Island, Inc. (Online technology school); Calthorpe Analytics, Inc. (a/k/a UrbanFootprint; Location intelligence software); Wave Financial Inc. (Financial Services Software); Wealthfront Corporation (Financial Services); WeeCare, Inc. (Childcare search platform); Yammer, Inc. (Enterprise social network); Ezetap Mobile Solutions Pte Ltd (Technology); Fortune Payment Solutions India Pvt, Ltd (Technology); Lotus Flare Asia Pte Ltd (Technology); Lotus Flare Technologies LLP (Technology); Lotus Flare Fz-LLC (Dubai) (Technology); Lotus Flare Asia Technologies Pt (Technology); Verify Holdings Limited (Technology); Verify Technology Holdings Limited (Technology); Truelayer (Fka Finport Inc) (Technology); Social Capital Hedosophia Holdings Corp (Technology) (This company was domesticated to the U.S. as a Delaware corporation as of August 7, 2019. Pursuant to domestication, the company changed its name to Virgin Galactic Holdings, Inc.); Mycash Fintech Pte Ltd (Technology); Mwyn Tech Private Ltd (Technology); Usekiwi Infolabs Pvt Ltd (Technology); Minion Ventures Pvt Ltd (Technology); Foodybuddy Applications Pvt Ltd (Technology); Vivish Technologies Pvt Ltd Pvt Ltd</p>

PART	#	ENDNOTE
		Pvt Ltd (Technology); Foodybuddy Applications Pvt Ltd (Technology); Vivish Technologies Pvt LtdPvt Ltd (Technology); Foodybuddy Applications Pvt Ltd (Technology); Vivish Technologies Pvt Ltd (Technology); Wheelseye Technologies Pvt Ltd (Technology)
2.	42.2	See holdings in Endnote to line 45.1
5.	2	Columns dated: 01/06/2020; 01/08/2020; 01/24/2020; 01/31/2020; 02/10/2020; 02/20/2020; 02/27/2020; 02/28/2020; 03/03/2020; 03/09/2020; 03/12/2020; 03/24/2020; 03/26/2020; 03/31/2020; 04/06/2020; 04/09/2020; 04/13/2020; 04/19/2020; 04/23/2020; 04/29/2020; 05/05/2020; 05/11/2020; 05/12/2020; 05/15/2020; 05/19/2020; 05/27/2020; 05/29/2020; 06/02/2020; 06/04/2020; 06/13/2020; 06/16/2020; 06/17/2020; 06/18/2020; 06/19/2020; 06/29/2020; 06/29/2020; 07/03/2020; 07/08/2020; 07/08/2020; 07/20/2020; 07/30/2020; 08/05/2020; 08/10/2020; 08/14/2020; 08/19/2020; 08/20/2020; 08/31/2020; 09/01/2020; 09/06/2020; 09/08/2020; 09/13/2020; 09/18/2020; 09/18/2020; 09/22/2020; 09/27/2020; 10/02/2020; 10/06/2020; 10/09/2020; 10/13/2020; 10/17/2020; 10/27/2020; 10/29/2020; 11/03/2020; 11/05/2020; 11/10/2020; 11/12/2020; 11/16/2020; 11/18/2020; 11/27/2020; 11/27/2020; 12/06/2020; 12/09/2020; 12/14/2020; 12/22/2020; 12/23/2020; 12/28/2020; 01/04/2021; 01/04/2021; 01/06/2021; 01/07/2021; 01/08/2021; 01/11/2021; 01/13/2021
6.	2	The LLC is a film company that was started by one of nominee's friends. It has never actually made any films.
8.	1	As part of his incentive package to teach at Harvard, nominee's spouse received mortgage loan for half the value of the couple's residence. Harvard University paid half the value of the home and nominee's spouse must repay the loan (and 50% of any appreciation value) upon sale of the home. The terms of the agreement between the nominee's spouse and Harvard are not affected by nominee's spouse taking a leave of absence for up to two years. If nominee's spouse does not return to Harvard at the end of two years, the entire mortgage becomes immediately due.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

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